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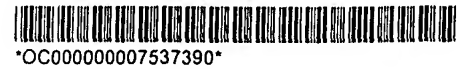
43

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,369	01/24/2002	Hideo Asano	JP920000390US1

CONFIRMATION NO. 1978

FORMALITIES LETTER



IBM Corporation
Intellectual Property Law
5600 Cottle Road
(L2PA-0142)
San Jose, CA 95193

Date Mailed: 02/26/2002

Due 4/26/02

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

H. Truong
Customer Service Center
Initial Patent Examination Division (703) 308-1202

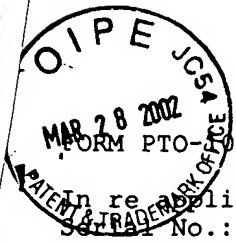
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IBM Docket No. JP920000390US1
(PATENT)

MP74 #3

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In re application of: H. Asano et al.

Serial No.: 10/057,369

Filed: 01/24/02

For: "Non-Volatile Memory Device"

THE COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, DC 20231

SIR:

Transmitted herewith in the above-identified application are:

- Amendment
- ☒ Declaration and Power of Attorney for Patent Application
- ☐ Assignment of the invention to International Business Machines Corporation
- ☒ Notice to File Missing Parts of Application -- Filing Date Granted
- No Additional Fee is Required

The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL 15 MINUS 20 = 0			x 18 =	\$.00
INDEP. 5 MINUS 5 = 0			x 84 =	\$.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+280 =	\$
			Surcharge	\$130.00
			TOTAL	\$ 130.00

☒ Please charge my Deposit Account No. 09-0466 in the amount of \$ 130.00.
A duplicate copy of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to Deposit
Account 09-0466. A duplicate copy of this sheet is attached.

☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is
being deposited with the United States Postal
service as first class mail in an envelope
addressed to the Commissioner of Patents and
Trademarks, Washington, DC 20231 on
03/18/02

Person Mailing paper/fee

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Signature

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